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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,399	10/19/2001	Robert G. Batchko	BAT-101

CONFIRMATION NO. 2085

27652  
JOSHUA D. ISENBERG  
204 CASTRO LANE  
FREMONT, CA 94539

## FORMALITIES LETTER



\*OC00000007515695\*

Date Mailed: 02/21/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/24/2002 NR0CHA1 00000031 10029399

FILED UNDER 37 CFR 1.53(b)

01 FC-201	370.00 OP
02 FC-202	252.00 OP
03 FC-203	1143.00 OP
04 FC-205	65.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1395.
  - \$1143 for 127 total claims over 20.
  - \$252 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1830.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

L. Seymour  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

200240" 6562001

04-23-02

MPT#



TRANSMITTAL

FORM

(for all correspondence after initial filing)

Attorney Docket **BAT-101**  
No.Total  
Pages

Application Number

**10/029, 399**

Filing Date

**10/19/01**

First Named Inventor

**ROBERT G. BATCHKO**

Group Art Unit

**2872**

Examiner

NOT YET ASSIGNED

## ENCLOSURES (check all that apply)

☒ Return Receipt Postcard (MPEP 503)☒ Fee Transmittal Form☒ Fee Attached☒ Response/Amendment☐ After Final Rejection☐ After Allowance communication to Group☐ with Corrected Drawing(s) Total Sheets: ☐☐ with Affidavits/Declarations

Extension of Time Request

Express Abandonment Request

☒ Response to Notice of Missing Parts☒ Applicant Claims Small Entity Status☒ Declaration by Inventor and Power of Attorney☐ Assignment papers☐ Recordation Cover Sheet☐ Power of Attorney by Assignee☐ with CFR 3.73(b) statement☐ IDS/PTO-1449☐ with copies of cited references☐ New Power of Attorney and Revocation of Old☐ Change of Correspondence Address☒ Other: Abstract

## SIGNATURE OF AGENT

NAME **JOSHUA D. ISENBERG, REG. NO. 41,088**

Signature

Date

4/20/2002

## Certificate of Mailing by "Express Mail"

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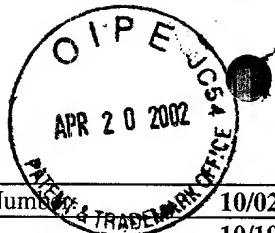
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## FEE TRANSMITTAL

Application Number:	10/029,399
Filing Date:	10/19/01
First Named Inventor:	Robert G. Batchko
Title of Invention:	Combinatorial Optical Processor
Group Art Unit:	2872
Examiner:	Not yet assigned
Attorney Docket No.:	BAT-101

### Fee Calculation:

for ☐ Large Entity / ☒ Small Entity.

### Basic Billing Fee:

[X] Utility Patent Application: \$740 / \$370 \$ 370  
[ ] Provisional Patent Application: \$160 / \$80 \$

### Claims:

[X] Number of Total Claims Over 20: [127] \$18 / \$9 = \$ 1143  
[ ] No. of Independent Claims Over 3: [6] x \$84 / \$42 = \$ 252

### Other Fees:

[ ] Extension of time, 1 month \$110 / \$55 \$  
[ ] Extension of time, 2 months \$400 / \$200 \$  
[ ] Extension of time, 3 months \$890 / \$445 \$  
[ ] Extension of time, 4 months \$1440 / \$720 \$  
[X] Missing Parts Surcharge (Regular Application) \$130 / \$65 \$65  
[ ] Missing Parts Surcharge (Provisional Application) \$50 / \$25 \$  
[X] Recordation of Assignment Document \$40 \$  
[ ] Issue Fee \$1280 / \$640 \$  
[ ] Printed Patent; Number of Copies: [ ] x \$3 = \$

**TOTAL PAYMENT:** \$ 1830

### Method of Payment:

[X] Payment Enclosed  
[X] Check

### Signature of Applicant, Attorney, or Agent

Joshua D. Isenberg  
Joshua D. Isenberg, Reg. No. 41,088

4/20/2002  
Date

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